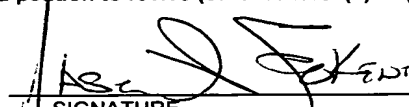


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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 3189-01 |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/529956 |
| INTERNATIONAL APPLICATION NO. PCT/US2003/025447 | INTERNATIONAL FILING DATE 14 August 2003 (14.08.2003) | PRIORITY DATE CLAIMED 01 October 2002 (1.10.2002) |
| TITLE OF INVENTION Metal Hydroxide Desiccated Emulsions Used to Prepare Grease | | |
| APPLICANT(S) FOR DO/EO/US The Lubrizol Corporation et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p> b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p> c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p> a. <input type="checkbox"/> is attached hereto.</p> <p> b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p> b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p> c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p> d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Form PTO-1449 IDS, copies of Foreign cited art, Certification Under 37 CFR 1.10, and copy of PCT Rule 92 bis letter of 31 March 2005 to Intl. Bureau of WIPO changing inventor Claire L. Thompson's name and address.</p> | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|--|--------------|---|---------|---|---------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/529956</div> | | INTERNATIONAL APPLICATION NO. PCT/US2003/025447 | | ATTORNEY'S DOCKET NUMBER 3189-01 | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY | |
| 21. <input checked="" type="checkbox"/> Basic national fee \$300 | | | | \$ 300.00 | | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .. \$100 All other situations .. \$200 | | | | \$ 200.00 | | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .. \$100 International Search Report prepared and provided to the Office .. \$400 All other situations .. \$500 | | | | \$ 500.00 | | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 1,000.00 | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | | |
| 31 - 100 = | -69 /50 = | | x \$250 | \$ 0.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | \$ 0.00 | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total claims | 20 - 20 = | 0 | x \$ 50 | \$ 0.00 | | |
| Independent claims | 3 - 3 = | 0 | x \$200 | \$ 0.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ 0.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,000.00 | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | 0.00 | | |
| SUBTOTAL = | | | | \$ 1,000.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ 0.00 | | |
| TOTAL NATIONAL FEE = | | | | \$ 1,000.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 0.00 | | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,000.00 | | |
| | | | | Amount to be refunded: | \$ | |
| | | | | Amount to be charged: | \$ | |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-2275</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-2275</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> | | | | | | |
| <p>SEND ALL CORRESPONDENCE TO:</p> <p>Jason S. Fokens The Lubrizol Corporation Patent Dept./Mail Drop 022B 29400 Lakeland Blvd. Wickliffe, OH 44092-2298</p> | | | | | | |
| | | | |  SIGNATURE | | |
| | | | | Jason S. Fokens NAME | | |
| | | | | 56,188 REGISTRATION NUMBER | | |

noveon

9911 Brecksville Road
Cleveland, Ohio 44141-3247
Tel: 216.4475541
Fax: 216.447.5933
sam.laferty@noveon.com

Samuel B. Laferty, Ph.D
Patent Counsel

March 31, 2005

VIA FACSIMILE to 41-22-338-8339

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20
Switzerland

RE: PCT/US2003/025447 filed 14th August 2003
in the name of The Lubrizol Corporation
Our Ref: 3189-PCT

Dear Sirs:

Pursuant to Regulation 92bis of the Patent Cooperation Treaty, this is a request to record a change in an inventor's name and address as a result of her marriage from:

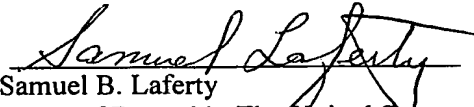
THOMPSON, Claire L.
40 Rymill Drive,
Oakwood, Derby, Derbyshire DE21 2UW (GB)

To

HOLLINGSHURST, Claire L.
P.O. Box 88
Belper, Derbyshire DE56 1QN (GB)

in the matter of the subject application.

Please make the necessary correction and send us the PCT/IB/306 as soon as possible (or you could fax it to 440 347-1110 in the United States of America). If you have any questions or require clarification, please do not hesitate to contact us.


Samuel B. Laferty
Agent of Record in The United States of America

Attachments: First Page of WO 2004/031328 A3 (pub. of PCT/US2003/025447)

s:\shl\patapp\3189IntBur92bis.doc

CERTIFICATION UNDER 37 CFR 1.10

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| Docket No. 3189-01 | |
| INTERNATIONAL APPLICATION NO. | PCT/US03/025447 |
| INTERNATIONAL FILING DATE: | 14 August 2003 |
| PRIORITY DATE CLAIMED: | 1 October 2002 |
| TITLE OF INVENTION: | METAL HYDROXIDE DESICCATED EMULSIONS USED TO PREPARE GREASE |
| APPLICANTS: | THE LUBRIZOL CORPORATION; NOLAN, Stephen J.; KERNIZAN, Carl F.; GREENFIELD, Paul S.; HOLLINGSBURST, Claire L. |

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|-----------------------------|
| ED793757182US |
| Express Mail mailing number |

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|-----------------|
| 4-1-05 |
| Date of Deposit |

I hereby certify that the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and the documents listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Transmittal Letter (in duplicate)
Information Disclosure Statement
Form PTO-1449 IDS
Copies of Foreign and "Other" Cited Art listed on Form PTO-1449 IDS
Copy of PCT Rule 92bis letter dated March 31, 2005 to International Bureau of WIPO advising name and address change of inventor Claire L. Thompson (now Claire L. Hollingshurst)
Return Receipt Postcard


Signature of Person Mailing Correspondence

Nancy S. Dedek
Typed or printed name of person mailing correspondence